

#5 2161

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.   
09469.014001; 97.0013

In Re Application Of: **Chun Shan Teresa Lam, et al.**

MAY 06 2002

Serial No.  
10/082,758

Filing Date  
February 25, 2002

Examiner

Group Art Unit  
2161

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Title:

**METHOD AND APPARATUS FOR MANAGING A KEY MANAGEMENT SYSTEM**

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Address to:  
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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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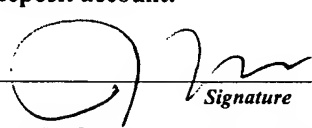
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Dated: April 25, 2002

  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

1

of

1

Application Number	10/082,758
Filing Date	February 25, 2002
First Named Inventor	Chui-Shan Teresa LAM
Group Art Unit	2161
Examiner Name	
Attorney Docket Number	09469.014001; 97.0013

**U.S. PATENT DOCUMENTS**

U.S. Patent Document					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages Appear

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**FOREIGN PATENT DOCUMENTS**

Foreign Patent Document							
Examiner initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T**

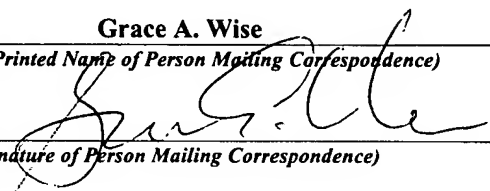
**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner initials*	Cite No.	References	T**
	A1	nCipher Corporation, Ltd.; "Secure Execution Engine (S.E.E.) White Paper;" Copyright 2001; nCipher Corporation Limited; 12 pages	
	A2	nCipher Inc.; "Strengthen and Manage the Security of Your Public Key Infrastructure with nCipher;" Copyright 2001; nCipher Inc.; 8 pages	
	A3	nCipher, Inc. "nShield Hardware Security Module;" Copyright 2001; nCipher Inc., 2 pages	
	A4	nCipher Corporation Limited; "nCipher Security World White Paper;" Copyright 2001; nCipher Corporation Limited; 12 pages	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\* Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.

<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)</b>			Docket No.
Applicant(s): Chui-Shan Teresa Lam, et al.			09469.014001; 97.0013
Serial No. 10/082,758	Filing Date February 25, 2002	Examiner	Group Art Unit 2161
Invention: <b>METHOD AND APPARATUS FOR MANAGING A KEY MANAGEMENT SYSTEM</b>			
<p>I hereby certify that this <u>Information Disclosure Statement along with the required papers</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>April 25, 2002</u> (Date)</p> <p><u>Grace A. Wise</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u></u> (Signature of Person Mailing Correspondence)</p> <p>Note: Each paper must have its own certificate of mailing.</p>			